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Revisiting the Name Variant of the Two-Children Problem

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ABSTRACT

Initially proposed by Martin Gardner in the 1950s, the famous two-children problem is often presented as a paradox in probability theory. A relatively recent variant of this paradox states that, while in a two-children family for which at least one child is a girl, the probability that the other child is a boy is 2/3, this probability becomes 1/2 if the first name of the girl is disclosed (provided that two sisters may not be given the same first name). We revisit this variant of the problem and show that, if one adopts a natural model for the way first names are given to girls, then the probability that the other child is a boy may take *any* value in (0, 2/3). By exploiting the concept of Schur-concavity, we study how this probability depends on model parameters.

ARTICLE HISTORY

KEYWORDS

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Majorization; Paradoxes in

Schur-concavity/convexity;

1. Introduction

In one of his famous mathematical games, Martin Gardner asked the following questions: Mr. Smith has two children. At least one of them is a boy. What is the probability that the other child is a boy? Mr. Jones has two children. The older is a girl. What is the probability that the other child is a girl? See Gardner (1959). While the answers he first provided were 1/3 and 1/2, respectively, he explicitly stated later that the first question was actually ambiguous; see Gardner (1987), chap. 14 and 19. To phrase this question without ambiguity in such a way that the corresponding answer is indeed 1/3, one should adopt the view (as we do throughout the present note) that Mr. Smith is randomly selected among all two-children families having at least one boy; see Bar-Hillel and Falk (1982) or Khovanova (2012) for discussions on how the answer to this first question depends on the way one obtains the information that Mr. Smith has at least one boy.

The two-children problem, that is sometimes referred to as the boy-or-girl problem, gained much popularity twenty-five years ago when it was discussed by the well-known columnist Marilyn vos Savant in *Parade* magazine (vos Savant 1996). It has since been discussed in several monographs (Mlodinow 2008; Chang 2012) and scientific papers (among which D'Agostini 2010; Lynch 2011; Pollak 2013, and the aforementioned ones).

Two variants of the two-children problem are famous. The first one asks: for a two-children family having at least a girl who is born on a Tuesday, what is the probability that the other child is a boy? See Lynch (2011), Falk (2011), Taylor and Stacey (2014), and Zaskis and Wijeratne (2015). If the probability that a girl is born on a Tuesday is r, then this probability can be shown to be equal to 2/(4 - r), which ranges from 1/2 (for r = 0) to 2/3 (for r = 1); for r = 1/7, the probability that the other

child is a boy is thus 14/27. This still assumes that the twochildren family considered here is randomly selected from all two-children families meeting this particular property; when removing the ambiguity above in another way, different probabilities are obtained in this variant, too; see Khovanova (2012).

The second variant rather asks: for a two-children family having at least a girl whose name¹ is Florida, what is the probability that the other child is a boy? See, for example, Mlodinow (2008) or Marks and Smith (2011). If two sisters may be given the same name, then this variant is strictly equivalent to the previous one: more precisely, if it is assumed that girls are independently named Florida with probability r, then the probability that the other child is a boy is 2/(4 - r). To make the second variant of interest, one therefore needs to assume that two sisters may not be given the same name, in which case, under the assumptions associated with what we will call Model A below, the probability that the other child is a boy is 1/2, irrespective of r; see D'Agostini (2010).

In this note, we revisit this second variant. In Section 2, we describe two models that can be considered to answer the question of interest. The first one, Model A, is the traditional one, for which both genders are equally likely for the other child—we carefully state the corresponding assumptions. Then, we introduce a new model, Model B, that specifies the way girl names are picked by parents. In Section 3, we compute in each model the probability that the other child is a boy. While both genders are indeed equally likely in Model A, this probability in Model B may assume any value in (0, 2/3) depending on popularities of girl names. In this second model, this probability is actually a Schurconcave function of name popularities, which allows us to study how this probability depends on model parameters. In Section 4, we provide some final comments. Finally, an Appendix collects technical proofs.

CONTACT Davy Paindaveine Optimized and a standard and and a stan

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2. Two Models

We consider two probabilistic models, labeled Model A and Model B below, for the gender and name of each child in a two-children family (in line with the question raised in the second variant of the two-children problem, we will actually consider names for girls only). We start by describing assumptions that are common to both models. Regarding gender, we adopt the following assumption.

Assumption 1 (Models A–B). (i) Any born child is a boy (b) with probability 1/2 and a girl (g) with probability 1/2. (ii) Genders of both children are independent.

Writing *E* and *Y* for *Elder* and *Younger*, respectively, we thus have, with obvious notation, P[Eb] = P[Eg] = P[Yb] =P[Yg] = 1/2, hence, for example, $P[Eb \cap Yb] = 1/4$. Now, we turn to assumptions involving names. We let $r_1 := P[Eg_1|Eg]$, where Eg_1 is the event that the elder child is a girl named n_1 . This event has thus probability $P[Eg_1] = P[Eg_1|Eg]P[Eg] = r_1/2$, which yields $P[Eg \setminus Eg_1] = 1 - P[Eb] - P[Eg_1] = (1 - r_1)/2$. The assumptions common to both models and related to names are then as follows.

Assumption 2 (Models A–B). (i) Two sisters may not be given the same name: $P[Eg_1 \cap Yg_1] = 0$. (ii) The name given to an elder girl and gender of the second child are independent: $P[Yb|Eg_1] = P[Yb|Eg](= 1/2)$. (iii) A girl having an elder brother is given name n_1 with the same probability as an elder girl child: $P[Yg_1|(Yg \cap Eb)] = P[Eg_1|Eg](= r_1)$.

Assumption 2(ii) yields $P[Eg_1 \cap Yb] = P[Yb|Eg_1]P[Eg_1] = r_1/4$, which implies both $P[(Eg \setminus Eg_1) \cap Yb] = P[Yb] - P[Eb \cap Yb] - P[Eg_1 \cap Yb] = (1-r_1)/4$ and (using also Assumption 2(i):) $P[Eg_1 \cap (Yg \setminus Yg_1)] = P[Eg_1] - P[Eg_1 \cap Yb] - P[Eg_1 \cap Yg_1] = r_1/4$. Moreover, Assumption 2(iii) provides $P[Eb \cap Yg_1] = P[Yg_1|(Yg \cap Eb)]P[Yg \cap Eb] = r_1/4$, which yields $P[Eb \cap (Yg \setminus Yg_1)] = P[Eb] - P[Eb \cap Yb] - P[Eb \cap Yg_1] = (1 - r_1)/4$. Summing up, Assumptions 1–2 lead to the probabilities given in Table 1. Clearly, one needs an extra assumption to determine the missing probabilities in this table, and the two models will differ. The usual model relies on the following, often tacit, assumption.

Assumption 3 (Model A). $P[Yg_1|Yg] = P[Eg_1|Eg](=r_1)$, or equivalently $P[Yg_1] = P[Eg_1](=r_1/2)$.

From a statistical point of view, this modeling assumption essentially translates the expectation that, within two-children families, there should be roughly as many girls baring the name n_1 among the younger girl children as among elder girl ones. Under this assumption, we indeed have $p_{\cdot 2}^A = P[Yg_1] = P[Eg_1] = r_1/2$, which allows us to obtain $p_{32}^A = r_1/4$,

Table 1. Probabilities obtained from Assumptions 1-2.

	Yb	Yg ₁	$Yg \setminus Yg_1$	
Eb Fa	1/4 r ₁ /4	r ₁ /4	$(1 - r_1)/4$	1/2
Eg₁ Eg∖Eg₁	$(1 - r_1)/4$	p ₃₂	r ₁ /4 p ₃₃	$r_1/2$ $(1-r_1)/2$
	1/2	p.2	<i>p</i> .3	1

 $p_{33}^A = (1 - 2r_1)/4$ and $p_{\cdot 3}^A = (1 - r_1)/2$. Note that this imposes that $r_1 \le 1/2$, a restriction we will not have in the alternative model we now describe.

Unlike the model above, Model B does not rely on a statistical view but rather provides a probabilistic scheme describing how girl names are *picked by parents* according to popularity. Assume that there are K names, n_1, \ldots, n_K say, with respective popularity r_1, \ldots, r_K , where the r_k 's are positive numbers that sum up to one. If the elder child is a girl, then it will be accordingly given name n_k with probability r_k . If the first child is a boy and the second one is a girl, then the K names are available for this girl, which will similarly be given name n_k with probability r_k . However, if the first child is a girl, named n_k say, then in case a second girl is born, this name is not available anymore (Assumption 2(i)), and parents will then naturally give this girl a name according to the rescaled probabilities associated with $r_1, \ldots, r_{k-1}, r_{k+1}, \ldots, r_K$. This is formalized in the following assumption.

Assumption 3 (Model B). Girl names available are n_1, \ldots, n_K . The first girl born in a family (if any) is given name n_k with probability r_k ; here, $r_k \ge 0$ for any $k = 1, \ldots, K$ and $\sum_{k=1}^{K} r_k =$ 1. If the elder girl was given name n_k , then the possible second girl is given name n_ℓ , with probability $r_\ell(1 - \delta_{k\ell})/(1 - r_k)$, where $\delta_{k\ell}$ takes value one if $k = \ell$ and value zero otherwise: $P[Yg_\ell|(Eg_k \cap Yg)] = r_\ell(1 - \delta_{k\ell})/(1 - r_k), k, \ell = 1, \ldots, K$, where Eg_k (resp., Yg_k) denotes the event that the elder (resp., younger) child is a girl named n_k .

In this model where *K* names are available, note that Assumption 2(ii) implies that $P[Yb|Eg_k] = P[Yb|Eg](= 1/2)$ for any k = 1, ..., K. Consequently, Model *B* yields

$$p_{32}^{B} = \sum_{k=2}^{K} P[Eg_{k} \cap Yg_{1}]$$

= $\sum_{k=2}^{K} P[Yg_{1}|(Eg_{k} \cap Yg)]P[Yg|Eg_{k}]P[Eg_{k}] = \frac{1}{4} \sum_{k=2}^{K} \frac{r_{1}r_{k}}{1-r_{k}},$

hence,

$$p_{\cdot 2}^{B} = \frac{r_{1}}{4} + p_{32}^{B} = \frac{r_{1}}{4} \left(1 + \sum_{k=2}^{K} \frac{r_{k}}{1 - r_{k}} \right)$$

Of course, one then has $p_{33}^B = (1 - r_1)/4 - p_{32}^B$ and $p_{.3}^B =$ $(1/2) - p_{.2}^B$, but these values will not be needed for our purposes. Note that, in contrast with Model A, here $p_{.2}^B = P[Yg_1]$ may be different from $P[Eg_1] (= r_1/2)$. We argue that this is natural in the setup considered: while names are obviously picked by parents, it seems spurious to assume that the mechanism they adopt to choose names will ensure that $P[Yg_1] = P[Eg_1]$. For instance, if it turns out that n_1 is a very popular name, then one would expect that $P[Yg_1] < P[Eg_1]$, since parents in twodaughters families are likely to pick this popular name for the first child. Another advantage of Model B is that arbitrarily high popularity is allowed: r_1 may assume any value in (0, 1) in this model, whereas the constraint $P[Yg_1] = P[Eg_1]$ inherent to Model A excludes that r_1 exceeds 1/2. Allowing for arbitrarily high popularity of a name is natural and attractive from a mathematical point of view (if not from a practical point of view).

3. Results

In any model satisfying Assumptions 1–2, the probability that a family has a boy given that it has a girl named n_1 is

$$P[Eb \cup Yb|Eg_1 \cup Yg_1] = \frac{P[(Eb \cup Yb) \cap (Eg_1 \cup Yg_1)]}{P[Eg_1 \cup Yg_1]} = \frac{(r_1/4) + (r_1/4)}{(r_1/2) + p_{\cdot 2}};$$

see Table 1. In Model A, we have $p_{\cdot 2} = p_{\cdot 2}^A = r_1/2$, which yields

Theorem 1 (Model A). The probability that a family has a boy given that it has a girl named n_1 is $P[Eb \cup Yb|Eg_1 \cup Yg_1] = 1/2$, irrespective of the value of r_1 .

This is the usual result that, if a family has a girl named n_1 (and if two sisters may not be given the same name), then both genders are equally likely for the other child. We now turn to Model B, for which the situation is very much different. Up to renumbering the names n_2, \ldots, n_K , we may of course assume that $r_2 \ge r_3 \ge \cdots \ge r_K$ (note that this does not impose anything on r_1). The model is thus indexed by $\mathcal{R}_K = \{r = (r_1, r_2, \ldots, r_K) \in (0, 1) : \sum_{k=1}^K r_k = 1, r_2 \ge r_3 \ge \cdots \ge r_K\}$. For any $r \in \mathcal{R}_K$, the probability that a family has a boy given that it has a girl named n_1 is then

$$P[Eb \cup Yb|Eg_1 \cup Yg_1] = \frac{(r_1/4) + (r_1/4)}{(r_1/2) + p_{\cdot 2}^B} = \frac{2}{3 + \sum_{k=2}^{K} \frac{r_k}{1 - r_k}}.$$
(1)

As $r \rightarrow (0, 1, 0, ..., 0)$, this probability converges to zero. Since $r_k > 0$ for any k, it trivially holds that this probability is strictly smaller than 2/3, an upper bound that is obtained as $r \rightarrow (1, 0, ..., 0)$. From continuity, the probability in (1) can take any value in the interval $(0, \frac{2}{3})$. We therefore proved the following result.

Theorem 2 (Model B). Fix an integer $K \ge 2$. Then, for any $r \in \mathcal{R}_K$, the probability that the family has a boy given that it has a girl named n_1 is

$$p(r) = \frac{2}{3 + \sum_{k=2}^{K} \frac{r_k}{1 - r_k}}$$

Moreover, $p(\mathcal{R}_K)$, the image of \mathcal{R}_K under the mapping p, is $(0, \frac{2}{3})$.

For any $K \ge 2$, the uniform configuration r = (1/K, ..., 1/K)provides the case p(r) = 1/2 where both genders are equally likely for the other child. Figure 1 plots p(r) as a function of r_1 for various values of K in the framework where the names $n_2, ..., n_K$ are equally likely (the figure also provides Monte Carlo simulation results that clearly support our expression of p(r) in Theorem 2). It will be of interest to determine for which values of r_1 there exists a corresponding configuration $r = (r_1, ..., r_K)$ such that the probability p(r)that the other child is a boy in the popularity Model B agrees with the value, 1/2, obtained in the traditional Model A. For this purpose, a crucial step is to characterize, for each fixed $K \ge 2$ and $r_1 \in (0, 1)$, the values of p(r) that can be achieved. This is precisely what is done in the following result, whose proof is based on the Schur-concavity (for any r_1) of the

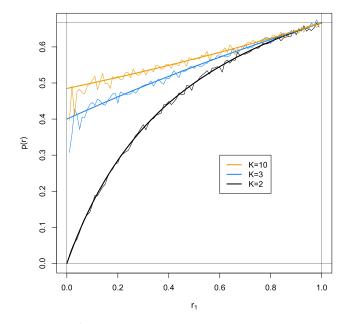


Figure 1. Plots of p(r), with $r = (r_1, (1 - r_1)/(K - 1), \ldots, (1 - r_1)/(K - 1))$, as a function of r_1 , for K = 2, 3, and 10. The wiggly curves are obtained from Monte Carlo simulations, based on 10, 000 replications, performed for any combination of $r_1 \in \{0.01, 0.02, \ldots, 0.99\}$ and $K \in \{2, 3, 10\}$.

mapping $(r_2, \ldots, r_K) \mapsto p(r_1, r_2, \ldots, r_K)$; see the Appendix for a proof.

Theorem 3 (Model B). Fix an integer $K \ge 2$ and $r_1 \in (0, 1)$. Define $\mathcal{R}_K(c) := \{r \in \mathcal{R}_K : r_1 = c\}$ the collection of values r for which $r_1 = c$. Then, for K = 2,

$$p(\mathcal{R}_K(r_1)) = \left\{\frac{2r_1}{2r_1+1}\right\},\,$$

whereas, for K > 2,

$$p(\mathcal{R}_K(r_1)) = \left(\frac{2r_1}{2r_1+1}, \frac{2(K-2+r_1)}{4(K-2+r_1)+1-Kr_1}\right].$$
 (2)

For K > 2, the lower bound is obtained as $r \rightarrow (r_1, 1 - r_1, 0, ..., 0)$, whereas the upper bound is achieved at $r = (r_1, (1 - r_1)/(K - 1), ..., (1 - r_1)/(K - 1))$.

The graphical illustration in Figure 2 shows that, unless K = 2, typical popularity values for the name n_1 (say, $r_1 \le 5\%$) will provide a rather wide range for the probability p(r) that the other child is a boy, that is, for such K and r_1 , this probability will much depend on the popularities of the remaining K - 1 names. Note that the upper bound in (2) reduces to $2r_1/(2r_1 + 1)$ for K = 2. As expected, for any $r_1 \in (0, 1)$, the (closures of the) feasible sets $p(\mathcal{R}_K(r_1)), K = 2, 3, \ldots$, form a strictly increasing sequence with respect to inclusion, and

$$\lim_{K\to\infty} p(\mathcal{R}_K(r_1)) = \left(\frac{2r_1}{2r_1+1}, \frac{2}{4-r_1}\right].$$

Theorem 3 also easily yields the following result.

Corollary 3.1 (Model B). Fix an integer $K \ge 2$ and define $S_K := \{r_1 \in (0,1) : p(\mathcal{R}_K(r_1)) \ge \frac{1}{2}\}$, the collection of r_1 -values for which some configuration $r = (r_1, \ldots, r_K)$ makes, in a family

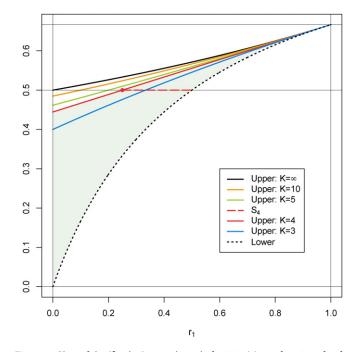


Figure 2. Plots of the (fixed- r_1) upper bound of p(r) in (2) as a function of r_1 for various values of K, as well as the corresponding lower bound (that does not depend on K). The shaded area thus emphasizes the feasible values of p(r) for K = 4. The dashed line segment corresponds to the set S_4 in Corollary 3.1.

having a girl named n_1 , both genders equally likely for the other child. Then,

$$S_2 = \left\{\frac{1}{2}\right\}$$
 and $S_K = \left[\frac{1}{K}, \frac{1}{2}\right]$ for $K > 2$.

As mentioned above, for any $K \ge 2$, the uniform case $r_1 = r_2 = \cdots = r_K (= 1/K)$ provides p(r) = 1/2. For K = 2, this is the only case leading to equally likely genders for the second child. For K = 3, it is readily checked that the cases $r = (r_1, r_2, r_3) \in \mathcal{R}_3$ providing p(r) = 1/2 are described by

$$r_{2} = \frac{1 - r_{1}}{2} + \frac{\sqrt{(3r_{1} + 1)^{2} - 4}}{6} \quad \text{and}$$
$$r_{3} = \frac{1 - r_{1}}{2} - \frac{\sqrt{(3r_{1} + 1)^{2} - 4}}{6},$$

with $r_1 \in [\frac{1}{3}, \frac{1}{2})$. Figure 3 offers a graphical representation. Note that the results are in line with Corollary 3.1. More generally, for $K \ge 4$, the collections of (r_2, \ldots, r_K) making both genders equally likely for the second child is a manifold of dimension K - 3 in $(\mathbb{R}^+_0)^{K-1}$.

4. Final Comments

This article revisits the name variant of the two-children problem and shows that, if it is known that the family has a girl named *Florida*, say, then the probability that the other child is a boy may depend on the stochastic model that is adopted, even if one restricts to models that do not allow two sisters to have the same name. We show this by recalling that this probability is always 1/2 in the traditional model and by defining an alternative, natural, model in which this probability may

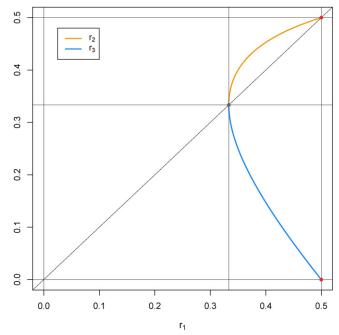


Figure 3. This plots r_2 and r_3 as functions of r_1 for all triples $r = (r_1, r_2, r_3) \in \mathcal{R}_3$ providing p(r) = 1/2 in the case K = 3 (vertical and horizontal lines are plotted at 1/3 and 1/2). This confirms that $S_3 := \{r_1 \in (0, 1) : p(\mathcal{R}_3(r_1)) \ni \frac{1}{2}\}$ is the interval $[\frac{1}{3}, \frac{1}{3})$; see Corollary 3.1. It is seen that any solution provides $r_1 \in [r_2, r_3]$.

assume any value in

$$\left(\frac{2r_1}{2r_1+1},\frac{2(K-2+r_1)}{4(K-2+r_1)+1-Kr_1}\right],$$

where r_1 is the popularity of the name *Florida* (more precisely, r_1 is the probability that the first girl born in a family is given this name) and where K is the number of possible names (this assumes that $K \ge 3$; for K = 2, this probability must be equal to $2r_1/(2r_1 + 1)$). In this new model, the dependence of this probability on r_1 clearly results from the heterogeneous way names are assigned to a first girl or a second one in a family.

It is of course natural to investigate whether or not the assumptions from Section 2 are valid. For Assumption 1, this was discussed in Carlton and Stansfield (2005), where the authors conclude that neither Part (i) nor Part (ii) of this assumption actually holds in practice: more precisely, births of boys are more frequent than births of girls, and independence of genders is violated; in line with this, the null hypothesis that the number of boys follows a binomial distribution with parameters 2 and p for some unspecified $p \in [0, 1]$ is rejected at all usual significance levels (the *p*-value is below 10^{-5}). While results of the present note can be trivially extended to asymmetric gender probabilities, it is unclear how to deal with violation of the independence assumption (to the best of the authors' knowledge, this issue actually has not been touched for the classical Model A). While it would also be of interest to test whether or not Assumption 2 holds in practice, it would be more urgent, in the context of the present article, to focus on Assumption 3 and to investigate which model, among Model A and Model B, provides a better description of the real world. This could be done, on the basis of suitable data, by studying whether or not the proportion of girls named n_1 among elder girls is different from the corresponding proportion among younger girls (a significant difference would make it necessary to consider Model B; see Section 2).

From an inferential point of view, it is natural to estimate the popularity parameters r_k , k = 1, ..., K, by the observed frequencies of the various names among the collection of first girls born in two-children families (using the terminology adopted in the present note, first girls include elder girls as well as younger girls having an older brother). This may not be the optimal solution, however, as there are likely ways to exploit information among younger girls having an older sister, too. Yet, restricting to a subsample is a reasonable approach, and, as a matter of fact, it was also considered in Carlton and Stansfield (2005), where, after rejecting the null hypothesis that genders of both children are independent, the probability that a newborn is a boy is estimated by restricting to elder children.

Appendix: Technical Proofs

In this appendix, we prove Theorem 3 and Corollary 3.1.

Proof of Theorem 3. The result for K = 2 trivially follows from Theorem 2. Fix then K > 2 and $r_1 \in (0, 1)$. Since the function $x \mapsto g(x) = x/(1-x)$ is strictly convex over (0, 1), Proposition C.1a in p. 92 from Marshall et al. (2011) yields that the function

$$(r_2,\ldots,r_K)\mapsto \sum_{k=2}^K \frac{r_k}{1-r_k}$$

is strictly Schur-convex on $(0, 1)^{K-1}$, so that

$$(r_2,\ldots,r_K)\mapsto p(r_1,r_2,\ldots,r_K)=\frac{2}{3+\sum_{k=2}^K \frac{r_k}{1-r_k}}$$

is strictly Schur-concave on $(0, 1)^{K-1}$. For any $r \in \mathcal{R}_K(r_1)$, we have $\sum_{k=2}^{K} r_k = 1 - r_1$, hence, also

$$\left(\frac{1-r_1}{K-1},\ldots,\frac{1-r_1}{K-1}\right)$$
 \prec (r_2,\ldots,r_K) \prec $(1-r_1,0,\ldots,0),$

where \prec refers to the usual majorization ordering; see, for example, pp. 8–9 in Marshall et al. (2011). The above Schur-concavity therefore implies that

$$p(r_1, 1 - r_1, 0, \dots, 0) \le p(r) \le p\left(r_1, \frac{1 - r_1}{K - 1}, \dots, \frac{1 - r_1}{K - 1}\right)$$

for any $r \in \mathcal{R}_K(r_1)$. Since direct evaluation provides

$$p(r_1, 1 - r_1, 0, \dots, 0) = \frac{2r_1}{2r_1 + 1}$$

and

$$p\left(r_1, \frac{1-r_1}{K-1}, \dots, \frac{1-r_1}{K-1}\right) = \frac{2(K-2+r_1)}{4(K-2+r_1)+1-Kr_1},$$

the result follows from continuity of $r \mapsto p(r)$. \Box

Proof of Corollary 3.1. The result trivially holds for K = 2, so we may restrict to K > 2. From Theorem 3, we have that $r_1 \in S_K$ —that is, $\frac{1}{2} \in p(\mathcal{R}_K(r_1))$ —if and only if

$$\frac{2r_1}{2r_1+1} < \frac{1}{2}$$
 and $\frac{1}{2} \le \frac{2(K-2+r_1)}{4(K-2+r_1)+1-Kr_1}$

that is, if and only if

$$r_1 < \frac{1}{2}$$
 and $r_1 \ge \frac{1}{K}$,

which establishes the result. \Box

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